

# OCR4all and LAREX Insights and Prospects

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### OCR4all – Motivation and Basics

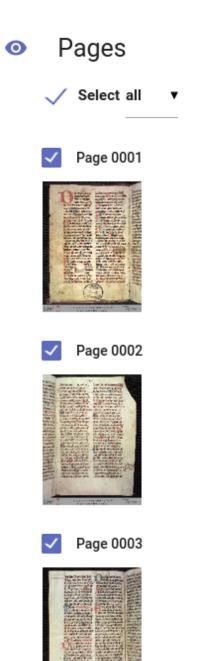
- Open-source OCR tools powerful but can be overwhelming for non-technical users
  - Complicated setup (missing dependencies, ...)
  - No comfortable GUI but unfamiliar command line usage
  - ...
- Idea behind OCR4all
  - Comprehensible for and applicable by any given user
  - Entire workflow encapsulated into a single Docker/VBox image
     → Platform independence, easy installation
  - Fully controllable via comfortable web GUI
  - Incorporates and combines several open-source tools
  - Fully <u>open-source</u>

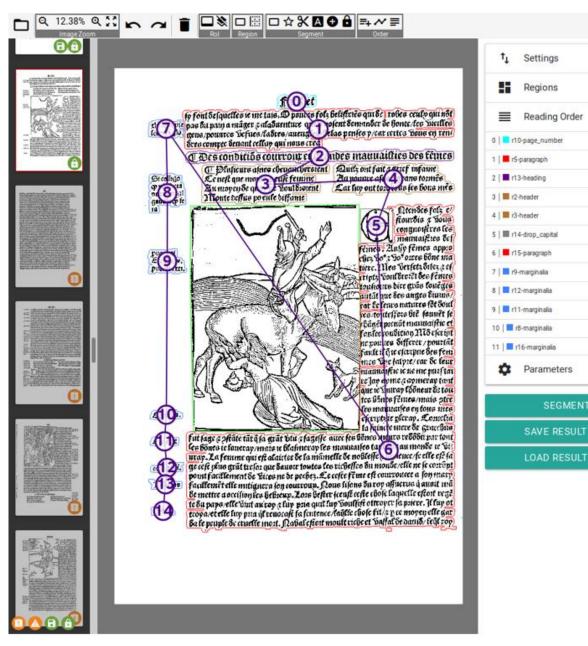
# **Currently available Submodules**

- OCRopus
  - https://github.com/ocropus/ocropy
  - Preprocessing (Binarization, Deskewing)
  - Automatic (Line)Segmentation
- LAREX
  - https://github.com/OCR4all/LAREX
  - Initially designed for high-quality interactive region segmentation including fine-grained semantic classification
  - Meanwhile comprehensive editing tool
    - Region- and line coordinates
    - Semantic typification and reading order
    - Text (ground truth production for training)
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- Calamari
  - https://github.com/Calamari-OCR
  - Text recognition and model training
  - Evaluation
- Kraken
  - https://github.com/mittagessen/kraken
  - Automatic segmentation
  - Potential further option for text recognition and model training

EXECUTE $\rightarrow$ FINALIZE CURRENT PROCESS AND EXIT $\otimes$	CANCEL 😣
Process selection	
Preprocessing	<ul> <li>✓</li> </ul>
Noise Removal	
Segmentation (Dummy)	✓
Line Segmentation	✓
Recognition	✓
Settings	
i Status	
EXECUTE > FINALIZE CURRENT PROCESS AND EXIT 😣	CANCEL 😣





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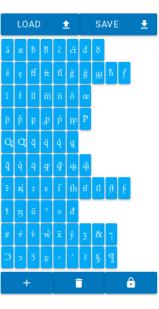


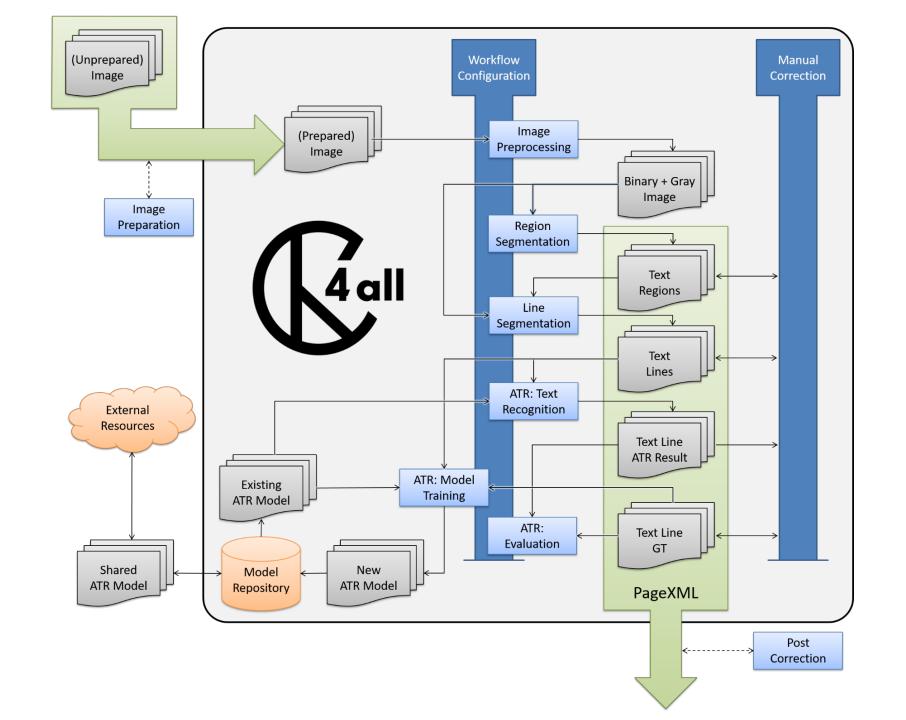
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**Lat luy ont tozs tous fes bons mēs** Car luy ont tozs tou<mark>o</mark> fes bons mēs

**Dtenses folz e**/ Ntendes folz e=









### www.ocr4all.de

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# Short Summary of Selected Evaluations

- Printings before 1600
  - Material: complex layout, highly variant typography
  - Approach: highly interactive segmentation, throrough book-specific GT production and training
  - Results:
    - No difference between un-/experienced user in terms of quality (average CER < 0.5%)</li>
    - Experienced users more efficient (ca. factor 2 both for segmentation and GT production)
- 19<sup>th</sup> century Fraktur
  - Material: standard novels with moderate layout
  - Approach: fully automatic segmentation and application of highly performant mixed model
  - Results: average CER < 1%, mostly (considerably) < 0.5%</p>
- For details please cf. the corresponding <u>paper</u>

# **Ongoing and Future Work**

- DFG-funded project OCR4all-libraries
- Application scenarios and material
  - Collaborative work
  - Handwritten Text Recognition
- Individual tools and workflow steps
  - LAREX
  - Calamari
  - Fully automatic segmentation
  - (Interactive) Postcorrection
- Usability optimization

### OCR4all-libraries – Full-Text Recognition of Historical Collections

- Applied for and approved within the third <u>OCR-D</u> phase
- Cooperation between the GEI Braunschweig and Uni Würzburg (HCI, ZPD)
- Two year funding since 07/2021
- Goals: OCR-D OCR4all
  - 1. Comfortable application of OCR-D solutions via OCR4all
    - Full control without using the CLI
    - Maximizing usability and user experience
    - $\rightarrow$  Applicable by non-technical users
  - 2. Support optimizing the OCR result within OCR4all
    - Comparison, optimization, and exchange of workflow configurations
    - Definition of sets, management of GT, as well as comfortable training
    - $\rightarrow$  Also helpful for more technical users



### Application Scenario: Collaborative Work

- OCR4all initially developed for local use by a single user
- ... but collaborative use of a centrally administered server instance
  - already possible
  - more and more in demand
  - meanwhile standard at the University of Würzburg
- Additional requirements compared to single usage
  - Project, user and task management
  - Resource management
  - Backup and versioning

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- Viable interim solutions available (complete rewrite of the OCR4all backend)
- Fully comprehensive, flexible and future-proof all-round care package requires further external funding (DFG application imminent)

#### Scheduler service

Start: 2021-12-15 14:06:28 State: paused (since 2021-12-15 14:08:53)



#### Scheduled

ID	Created	Target	Description	Action
5	2021-12-15 14:13:32	project_04	Folios import (v1.0)	✓ Down ¥ End ■ Cancel
6	2021-12-15 14:14:11	project 02 (workflow 1/p2)	Workflow folios (v1.0)	Segin Vp Vown SEnd Cancel
4	2021-12-15 14:12:43	project_03 (workflow 2/p3)	Workflow folios (v1.0)	Segin ► Up Cancel

#### Running

ID	Created	Start	Steps	Progress	Target	Description	Action
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#### Done

🗊 Expunge

ID	Created	Start	End	State	Steps	Progress	Target	Description
3	2021-12-15 14:09:27		2021-12-15 14:09:33	canceled	1	0%	Project 01 (ws_01)	Workflow folios (v1.0)
2	2021-12-15 14:07:11	2021-12-15 14:07:11	2021-12-15 14:07:11	interrupted	1	0%	project 02 (workflow 2/p2)	Workflow folios (v1.0)
1	2021-12-15 14:06:28	2021-12-15 14:06:28	2021-12-15 14:06:28	completed	1	100%	project 02	Folios import (v1.0)

### Material: Handwritten Text Recognition

- Recognition of printed and handwritten documents conceptually very similar
- As of now, adapting OCR4all towards HTR rather straight-forward
- Apparently already widely used
- TODO: strong mixed models (work in progress, cf. talk in January)
- Several project applications in preparation
  - University of Würzburg, Berlin, Bremen, ...
  - Current focus: German manuscripts from the 14/15<sup>th</sup> and 19<sup>th</sup> century



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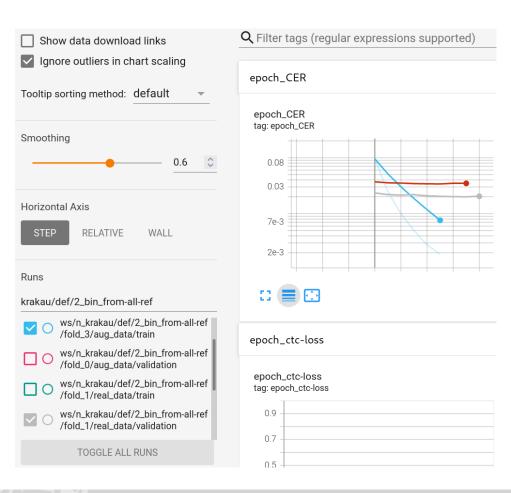
### LAREX

- Outgrew itself (region segmentation only → universal correction tool)
- Many (even smaller) adaptations/extensions not as straight forward as they should be
- Complete rewrite in an component-based way (using Vue.js)
- Base application:
  - Basic (manual) correction functionality only
  - Easily deployable as Electron App
- Further functionality via extensions (also from external sources)
- Wishlist (tiny selection):
  - Calling OCR(-D) processors directly from LAREX
    - Page/region/line level
    - Generic solution for arbitrary processors
  - Soften single page view (e.g. show 25 most uncertain lines from all pages/works/...)
  - Enable editing of words and glyphs



### Calamari

- Upgraded to Calamari 2
  - More accurate
  - Word/glyph + confidence output
  - Tensorboard support
  - ...
- Trained several highly performant mixed models
  - Printings: paper at HIP21
  - HTR: journal paper in preparation (cf. talk in January)
- Wishlist (for OCR4all):
  - Flexible model download within OCR4all
    - from various sources
    - (also for various OCR engines)
  - Full and seemless intregration of Tensorboard



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### **Automatic Segmentation**

- Several promising, fully open-source, baselinebased, trainable (!) solutions:
  - Kraken (Kiessling: A Modular Region and Text Line Layout Analysis System)
  - Developments at the Chair for Artificial Intelligence at Uni Würzburg (Fischer, Hartelt, Gehrke, Puppe)
  - ..
- Offer various solutions → let users choose the best one for their concrete use case and material
- Currently working on improving existing models for application on medieval manuscripts

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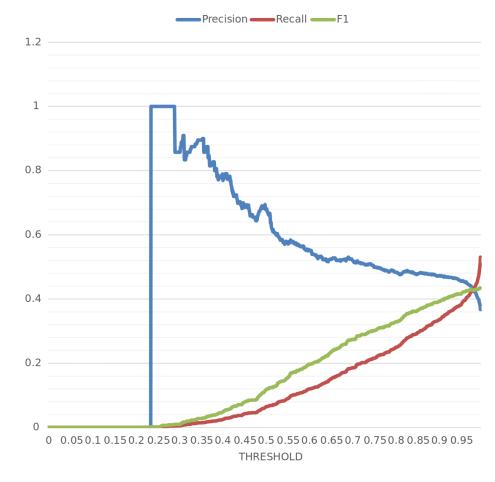
### Postcorrection

- Fully automatic
  - No own approach planned
  - Monitoring applicability and transferability of OCR-D solutions
  - Cooperation with yet to be filled AI professorships (NLP, Computational Humanities, ..) at the University of Würzburg
- Interactive / semi-automatic
  - Confidence-based interactive error spotting
  - Incorporating dictionaries
  - Combination of both?

## **Using Confidence Information**

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### ... of the OCR engines to identify and highlight suspicious spots



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Which confidence threshold to choose? Trade-off! (Precision/Recall problem)

### **Incorporating Dictionaries**

### Developments in DFG project <u>Camerarius digital</u> (Norbert Fischer)

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### **Usability Optimization**

- Good usability and user experience indispensable for user-centred application
- Increase in complexity of OCR4all unavoidable
- Nonetheless, the use of the system must be as low-threshold as possible
- Cooperation with the Chair for Human Computer Interaction at the University of Würzburg (Prof. Dr. Marc Latoschik, Kristof Korwisi)
  - Ongoing user studies closely linked to the development process
  - Targeted GUI optimizations
  - Expansion of user manuals to incorporate new functionality





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# **Usability Optimization**

- Methods:
  - Expert review
  - Use case-driven user studies
    - One-to-one sessions
    - Group session
- Outcomes:
  - Identified several critical (where is the main menu???) and non-critical usability problems
  - Some issues addressed right away (user manuals PDF  $\rightarrow$  MD, ...)
  - Input for OCR4all frontend rewrite
- Upcoming:
  - Synchronization measures OCR4all ↔ LAREX ("Look and Feel")
  - Dedicated LAREX studies
  - OCR4all: next iteration

- 1. Laden Sie das Projekt "GNM" mit der Nummer Ihres Breakoutrooms (Breakoutroom 1 = 001-GNM, …).
- 2. Führen Sie den Schritt Preprocessing mit den vorgegebenen Werten aus.
- 3. Führen Sie den Schritt Segmentation aus, verwenden Sie dazu bitte LAREX.
- 4. Segmentieren Sie die Seite mit den vorgegebenen Einstellungen und speichern sie das Ergebnis ab.

Project Overview			
$\checkmark$			
Project Overvi	ew		
Project Overview			
Process Flow			
Preprocessing			
Noise Removal			

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# Upcoming "Milestones"

- Next release (before Christmas?)
  - Calamari 2
  - Kraken segmentation
  - LAREX confidence view
  - •
- Major update (version 1.0?)
  - Full rewrite of the backend
  - Rewrite of the OCR4all frontend (also using Vue.js)
  - Elimination of numerous small-ish but annoying bugs
- Full support of OCR-D processors
- Full rewrite of the LAREX frontend